IN THE PROBATE COURT OF JEFFERSON COUNTY, ALABAMA (IN EQUITY)

IN RE REGIONS BANK, d/b/a) REGIONS MORGAN KEEGAN TRUST) CASE NO. 200853

NOTICE OF ORDER DIRECTING POTENTIAL ACCOUNT SUCCESSORS TO NOTIFY REGIONS OF CHANGES IN ADDRESS OR STATUS

You are receiving this Notice because Regions Bank, d/b/a Regions Morgan Keegan Trust ("Regions") has received information indicating that you may potentially be an Account Successor (defined below) of the former Regions account that is identified on the attached Information Form (the "Affected Account"). <u>Should you be an Account Successor of the Affected Account, failure to provide the information requested by this Notice and the attached Information Form could cause payments to Account Successors of any money recovered on behalf of the Affected Account to be misdirected or permanently lost.</u>

RMK FUNDS CLAIMS, LEGACY ACCOUNTS, AND ACCOUNT SUCCESSORS

Effective June 30, 2008, the Probate Court of Jefferson County, Alabama appointed a Trustee ad Litem to monitor, evaluate, or pursue, in substitution for Regions, a specific category of claims ("RMK Funds Claims") on behalf of certain trust, estate, agency and custodial accounts that were maintained at Regions as of June 30, 2008 ("Affected Accounts"), including the Affected Account. Some of these Affected Accounts are no longer maintained at Regions or may cease to be maintained at Regions in the future ("Legacy Accounts"). The Court directed Regions to identify the persons or entities who are entitled to receive any amounts recovered by the Trustee ad Litem for the RMK Funds Claims of Legacy Accounts ("Account Successors"). In fulfilling that responsibility, Regions has identified the Account Successors for the Affected Account based on information obtained by Regions. Although you were not initially identified by Regions as an Account Successor of the Affected Account. So that you can protect any potential rights that you may have with respect to the Affected Account, the Court has directed that Regions send you this Notice and the attached Information Form.

The Court has now issued an Order: (a) redefining the continuing responsibilities of Regions with respect to Legacy Accounts; (b) directing Regions to notify Account Successors and potential Account Successors of their responsibility to report to Regions any corrections or future changes to address information or Account Successor or potential Account Successor status; and (c) directing Regions to provide Account Successors and potential Account Successors with a means of reporting to Regions any such corrections or future changes.

POTENTIAL ACCOUNT SUCCESSOR INFORMATION FORM

If you believe that you are an Account Successor of the Affected Account, it is critical that you review, complete, and return the attached Information Form to Regions promptly. A pre-addressed, postage pre-paid return envelope is enclosed. In addition, you should be prepared to present necessary documentation of your status as a potential Account Successor of the Affected Account to Claims Administrators or Settlement Administrators responsible for distributing any payments of amounts recovered on RMK Funds Claims. Finally, if your contact information (your address, for example) or your potential Account Successor status changes¹ in the future, you must promptly notify Regions (using the contact information provided below).

¹ An example of a change in Account Successor status is: The trustee of a trust that has become a Legacy Account is the Account Successor. The trust later terminates, the trustee distributes the trust assets to the trust's remainder beneficiaries, and is discharged as trustee. The trustee is no longer the Account Successor; the remainder beneficiaries are. That trustee should notify Regions of the remainder beneficiaries' names, percentage shares, addresses, and tax identification numbers so that Regions can provide the Trustee ad Litem and any appropriate Claims Administrators or Settlement Administrators with this update regarding the new Account Successors.

It is very important that Regions' records of your address and potential Account Successor status remain current. It is your responsibility, now and in the future, to provide written notice to Regions (using the contact information provided below) of any changes. Should you be an Account Successor of the Affected Account, failure to provide the information requested by this Notice and the attached Information Form could cause payments to Account Successors of any money recovered on behalf of the Affected Account to be misdirected or permanently lost.

ADDITIONAL INFORMATION AND QUESTIONS

Copies of the Order referenced in this Notice may be obtained from the Settlement Administrator, Garden City Group ("GCG"), by contacting GCG as indicated below, or on GCG's website: <u>http://www.choosegcg.com/cases-info/rnx/</u>. Additional information can also be obtained on GCG's website or by calling GCG at 877-940-9475 or emailing GCG at RegionsBankTALSettlement@gardencitygroup.com

Questions about this Notice or the attached Information Form should be directed to Regions' counsel. Also, additional copies of the Information Form may be obtained by contacting Regions' counsel, whose mailing address, telephone number, and email address are as follows:

Regions Trust Account Updates c/o Maynard, Cooper & Gale, PC 1901 6th Avenue North, Suite 2400 Birmingham, AL 35203 Phone: 855.501.9793 Email: **Updates@maynardcooper.com**

Please do not contact the Court directly.

Done and ordered July 14, 2014, as supplemented January 28, 2015, as amended July 12, 2017 **SHERRI FRIDAY**, Probate Judge

INFORMATION ONLY – DO NOT USE. Request the form specific to the Affected Account from Maynard Cooper & Gale, P.C. at (855) 501-9793 or <u>Updates@maynardcooper.com</u>.

POTENTIAL ACCOUNT SUCCESSOR INFORMATION FORM - Return promptly to:

Regions Trust Account Updates c/o Maynard Cooper & Gale, P.C. 1901 Sixth Avenue North, Suite 2400 Birmingham, AL 35203 Phone: 855.501.9793 Email: Updates@maynardcooper.com

It is your responsibility, now and in the future, to notify Regions (using the contact information provided above) of any changes in your address or potential Account Successor status. Should you be an Account Successor of the Affected Account, failure to provide the information requested by the attached Notice and this Information Form could cause payments to Account Successors of any money recovered on behalf of the Affected Account to be misdirected or permanently lost.

Information about the former Regions Account ("Affected Account"):

Name of Account

Account Number

Information about the Person Submitting this Form as Potential Account Successor:

Your Name (and entity name if an institutional trustee or other entity)

Potential Account Successor status (according to information received by Regions, this may be your potential Account Successor status with respect to this Affected Account, which you must confirm):

Street Address

City, State and Zip Code

Daytime Telephone Number

E-mail Address

Social Security or Taxpayer Identification Number

Please complete the telephone number, e-mail address, and SSN/TIN lines.

If you believe that any of the above information is or may be incorrect, please mark through the information provided and print any corrections. If you believe that all of the above information is currently complete and correct, please check here _____, sign and date below, and return this Form to Regions at the mailing address or email address provided above.

You should be prepared to present necessary documentation of your status as a potential Account Successor to Claims Administrators or Settlement Administrators.

Please Note: If you are not a potential Account Successor of the Affected Account identified in this Form, or if you received the attached Notice and this Form on behalf of a person who has died or become incompetent or is otherwise unavailable for some reason, then please update the potential Account Successor information by making

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changes on this Form as provided in the preceding paragraph and return the form to Regions (using the contact information provided above).

A pre-addressed, postage pre-paid envelope is enclosed for your use in returning this Form, or you may email the Form to Updates@maynardcooper.com.

Date

Signature

Name printed if different from name shown above